



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Toshiya SUZUKI et al.**

Group Art Unit: **2822**

Serial No.: **09/765,437**

Examiner: **Maria F. Guerrero**

Filed: **January 22, 2001**

Confirmation No.: **9007**

For: **SEMICONDUCTOR DEVICE HAVING A CAPACITOR WITH RARE  
METAL ELECTRODE**

*fee only*  
Attorney Docket Number: **001764**  
Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.97(c)(1)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

November 2, 2004

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO-1449. A copy of each listed document is attached.

The documents are cited in the Notice of Reasons of Rejection mailed October 19, 2004 of the counterpart Japanese patent application in the present application. A copy of the Notice of Reasons of Rejection and the English translation is also attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first

12/03/2004 AJOHNSD1 0000009 502866 09765437  
01 FC:1806 official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

Information Disclosure Statement under 1.97(c)(1)

Attorney Docket No. 001764

Serial No. 09/765,437

The undersigned hereby certifies that each item of information contained in the IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

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Enclosures: PTO-1449  
4 References with 4 English abstracts